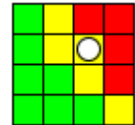
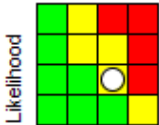



## Appendix 1 – CR16 Updated Risk Report

Risk no, Title, Creation date, Owner	Risk Description (Cause, Event, Impact)	Current Risk Rating & Score		Risk Update and date of update	Target Risk Rating & Score		Target Date	Current Risk score change indicator
<b>CHB IT 030 Information Security (formerly CR16)</b> 14-Mar-2019 Peter Kane	<b>Cause:</b> Breach of IT Systems resulting in unauthorised access to data by internal or external sources. Officer/ Member mishandling of information. <b>Event:</b> Cybersecurity attack - unauthorised access to COL IT systems. Loss or mishandling of personal or commercial information. <b>Effect:</b> Failure of all or part of the IT Infrastructure, with associated business systems failures. Harm to individuals, a breach of legislation such as the Data Protection Act 2018. Incur a monetary penalty of up to £500,000. Compliance enforcement action. Corruption of data. Reputational damage to Corporation as effective body.	 Likelihood	12	Following approval from Summit the risk has been reduced to Amber. this will be reduced to a Departmental Level Risk  <b>11 Mar 2019</b>	 Likelihood	8	31-Jan-2019	  Decreasing

Action no, Title,	Action description	Latest Note	Action owner	Latest Note Date	Due Date
CR16b Review and strengthen Data Retention, Management and Ownership.	For all major systems establish data owner and retention policy for information therein.	Complete	Sean Green	23-May-2018	30-Apr-2018
CR16h Online Training for Members	Online training to be made available to Members following workshop in February 2016.	Complete	Gary Brailsford-Hart	23-May-2018	30-Apr-2018
CR16i Technical Security Infrastructure	The Development and implementation of more technical security infrastructure	Using a recognised Cyber security maturity model there is a dashboard being reported that shows via a RAG status 10 areas of focus to mitigate this risk with training, processes and tools being delivered that in combination will bring the risk to Amber by June 2018. Dedicated project manager is supporting the delivery of new Cyber protection solutions for the CoL and CoLP estates. – Action now completed	Sean Green	20-Aug-2018	30-Jun-2018
CR16j GDPR Lessons Learnt	GDPR Data Breaches lessons learned being reviewed by the IT Security team, with mitigations agreed and implemented to reduce the likelihood of similar data breaches in the future. To be reported to the IT Sub-Committee November 2018 meeting	Complete - Lessons learned completed on breaches and additional training provided to staff where required.	Gary Brailsford-Hart	13-Dec-2018	30-Nov-2018

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CR16k Final stages of completing IT security projects	Final stages of completing IT security projects which will mean that we can assure Members that the City of London Corporation has implemented all the national government recommended security practices and technology achieving a maturity level of 4.	IT Security projects have been delivered as planned. The IT Security team are recommending to the Audit and Risk Committee that this risk is reduced to Amber.	Gary Brailsford-Hart	06-Feb-2019	04-Feb-2019
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